

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bruce McCorkendale et al.

Assignee: Symantec Corporation

Title: DETECTION AND BLOCKING OF MALICIOUS CODE

Serial No.: 10/643,564

Filed: August 18, 2003

Examiner: Unassigned

Group Art 2131
Unit:

Docket No.: SYMC1032

Monterey, CA
September 23, 2003

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants wish to call the following documents to the attention of the Examiner:

OTHER DOCUMENTS:

1)	Williamson, Matthew M., "Throttling Viruses: Restricting propagation to defeat malicious mobile code", Hewlett-Packard Company, unnumbered abstract page and pp. 1-6 [online]. Retrieved on September 2, 2003. Retrieved from the Internet: <URL:http://www.hpl.hp.com/techreports/2002/HPL-2002-172.pdf>.
2)	Bennett, Charles, Li, M. and Ma, B., "Linking Chain Letters", pp. 1-7 [online]. Retrieved on September 2, 2003. Retrieved from the Internet: <URL:http://www.math.uwaterloo.ca/~mli/chain9.ps>.
3)	Bennett, Charles H., Li, M. and Ma, B., "Chain Letters & Evolutionary Histories", Scientific American, June 2003, pp. 76-81.

A PTO form 1449 listing these documents is enclosed.
Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

2. a representation that a search has been made, other than as described above; or

3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

If the USPTO issued a first office action prior to the mailing date of this paper, the Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 23, 2003.



Attorney for Applicant(s)

September 23, 2003

Date of Signature

Respectfully submitted,



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Form PTO-1449

Atty Docket No.

Serial No.

SYMC1032

10/643,564

INFORMATION DISCLOSURE CITATION

IN AN APPLICATION

Applicant(s)

Bruce McCorkendale et al.

Filing Date

August 18, 2003

Group

2131

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	Williamson, Matthew M., "Throttling Viruses: Restricting propagation to defeat malicious mobile code", Hewlett-Packard Company, unnumbered abstract page and pp. 1-6 [online]. Retrieved on September 2, 2003. Retrieved from the Internet: <URL:http://www.hpl.hp.com/techreports/2002/HPL-2002-172.pdf>.
AS	Bennett, Charles, Li, M. and Ma, B., "Linking Chain Letters", pp. 1-7 [online]. Retrieved on September 2, 2003. Retrieved from the Internet: <URL:http://www.math.uwaterloo.ca/~mli/chain9.ps>.
AT	Bennett, Charles H., Li, M. and Ma, B., "Chain Letters & Evolutionary Histories", Scientific American, June 2003, pp. 76-81.

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).